

#3

Attorney Docket No. G0635/7004

DECLARATION FOR PATENT APPLICATION

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

SYSTEM AND METHOD FOR AUTHENTICATED AND PRIVACY PRESERVING BIOMETRIC IDENTIFICATION SYSTEMS

the specification of which is attached hereto unless the following is checked:

[X] was filed on April 30, 1999, as United States Application No. 09/303,053 or PCT International Application No. _____, bearing attorney docket No. _____, and was amended on _____ (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, §1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, §119(a)-(d) or §365(b) of any foreign application(s) for patent or inventor's certificate, or section 365(a) of any PCT International application designating at least one country other than the United States listed below and have also identified below any foreign application for patent or inventor's certificate or PCT International application having a filing date before that of the application on which priority is claimed:

Prior Foreign PCT International Application(s) and any priority claims under 35 U.S.C. §§119 and 365(a), (b):

			Priority Claimed	
(Number)	(Country-if PCT, so indicate)	(DD/MM/YY Filed)	[]	[]
			YES	NO
<hr/>				
(Number)	(Country)	(DD/MM/YY Filed)	[]	[]
			YES	NO
<hr/>				
(Number)	(Country)	(DD/MM/YY Filed)	[]	[]
			YES	NO

I hereby claim the benefit under Title 35, United States Code, §119(e) of any United States provisional application(s) listed below:

_____ (Application Number)	_____ (filing date)
<hr/>	
_____ (Application Number)	_____ (filing date)

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s), or §365(c) of any PCT International application(s) designating the United States of America listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56

which became available between the filing date of the prior application and the national or PCT International filing date of this application:

(Application No.)	(filing date)	(status-patented, pending, abandoned)
(Application No.)	(filing date)	(status-patented, pending, abandoned)

PCT International Applications designating the United States:

(PCT Appl. No.)	(U.S. Ser. No.)	(PCT filing date)	(status-patented, pending, abandoned)
-----------------	-----------------	-------------------	---------------------------------------

I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

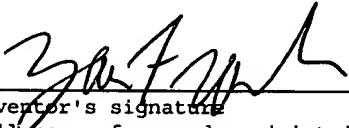

David Wolf	17,528	Peter C. Lando	34,654	Matthew B. Lowrie	38,228
George L. Greenfield	17,756	Gary S. Engelson	35,128	Michael G. Verga	39,410
Stanley Sacks	19,900	Peter J. Gordon	35,164	Robert E. Rigby, Jr.	36,904
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Steven J. Henry	27,900	Douglas R. Wolf	36,971	Ivan D. Zitkovsky	37,482
Therese A. Hendricks	30,389	Elizabeth R. Plumer	36,637	Michele J. Young	43,299
Edward R. Gates	31,616	Timothy J. Oyer	36,628	Edward J. Russavage	43,069
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Charles E. Pfund	17,030	Christopher S. Schultz	37,929	Neil P. Ferraro	39,188
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James H. Morris	34,681	John R. Van Amsterdam	40,212	Lisa E. Winsor	44,405

Address all telephone calls to James H. Morris at telephone no. (617) 720-3500. Address all correspondence to

James H. Morris
c/o Wolf, Greenfield & Sacks, P.C.,
Federal Reserve Plaza
600 Atlantic Avenue
Boston, MA 02210-2211

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Inventor's signature	Date
Full name of sole or first inventor George I. Davida	
Citizenship United States	
Residence (City and State, or City and Country for non-U.S. residence) 2424 E. Webster Place #201, Milwaukee, WI 53211	
Post Office Address Same as above	

	
Inventor's signature	Date
Full name of second or joint inventor Yair Frankel	
Citizenship United States	
Residence (City and State, or City and Country for non-U.S. residence) 122 Harrison Avenue, Westfield, NJ 07090	
Post Office Address Same as above	

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George I. Davida
Inventor's signature

Full name of sole or first inventor George I. Davida

Citizenship United States

Residence (City and State, or City and Country for non-U.S. residence)

2424 E. Webster Place #201, Milwaukee, WI 53211

Post Office Address Same as above

11/16/99
Date

Inventor's signature

Full name of second or joint inventor Yair Frankel

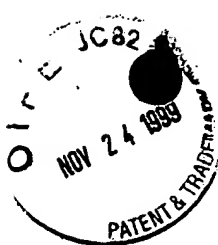
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122 Harrison Avenue, Westfield, NJ 07090

Post Office Address Same as above

Date



Attorney's Docket No. G0635/7004

Applicant or Patentee: George I. Davida and Yair Frankel

Serial or Patent No.: 09/303,053

Filed or Issued: April 30, 1999

For: SYSTEM AND METHOD FOR AUTHENTICATED AND PRIVACY PRESERVING BIOMETRIC IDENTIFICATION SYSTEMS

**DECLARATION CLAIMING SMALL ENTITY STATUS
(INDEPENDENT INVENTOR)**

As a below named inventor, I hereby declare that I qualify as an independent inventor as defined in 37 CFR 1.9(c) for purposes of paying reduced fees under section 41(a) and (b) of Title 35, United States Code, to the Patent and Trademark Office with regard to the invention entitled SYSTEM AND METHOD FOR AUTHENTICATED AND PRIVACY PRESERVING BIOMETRIC IDENTIFICATION SYSTEMS described in

- ☐ the specification filed herewith
☒ application serial no. 09/303,053, filed April 30, 1999.
☐ patent no. _____, issued _____.

I have not assigned, granted, conveyed or licensed and am under no obligation under contract or law to assign, grant, convey or license, any rights in the invention to any person who would not be classified as an independent inventor under 37 CFR 1.9(c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

Each person, concern or organization to which I have assigned, granted, conveyed, or licensed or am under an obligation under contract or law to assign, grant, convey, or license any rights in the invention is listed below:

- ☒ no such person, concern, or organization
☐ persons, concerns or organizations listed below *

*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

FULL NAME

ADDRESS

☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

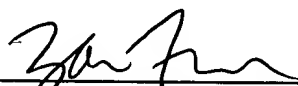
I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

George I. Davida
NAME OF INVENTOR

SIGNATURE OF INVENTOR

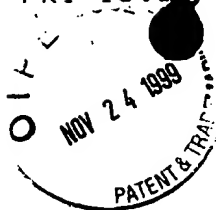
DATE

Yair Frankel
NAME OF INVENTOR


SIGNATURE OF INVENTOR

June 8, 1989
DATE

#3



Attorney's Docket No. G0635/7004

Applicant or Patentee: George I. Davida and Yair Frankel

Serial or Patent No.: 09/303,053

Filed or Issued: April 30, 1999

For: SYSTEM AND METHOD FOR AUTHENTICATED AND PRIVACY PRESERVING BIOMETRIC IDENTIFICATION SYSTEMS

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